

Risk Profile Questionnaire - Existing Client

April 2018

How do you feel about risk?

Client's name(s)

Please tick the most appropriate answer:

Strongly
Disagree

Disagree

Niether
Agree or
Disagree

Agree

Strongly
Agree

I would be willing to risk a percentage of my income/
capital in order to get a good return on an investment

To achieve high returns, it is necessary to choose high
risk investments

When I'm faced with a financial decision I am generally
more concerned about the possible losses than the
probable gains

I would rather know I was getting a guaranteed rate of
return than be uncertain about my investments

Compared to the average person I take lower
financial risks

I would rather put my money in a bank account than
invest in shares

I do not feel comfortable with financial uncertainty

I would accept potential losses in order to pursue
long term investment growth

Taking financial risk is important to me

I would be happy investing a large proportion of my
income/capital in a high risk investment

What is your capacity for risk?

The following questions are not part of the psychometric attitude assessment and have no direct impact on the questionnaire results or selected risk profile.

However the answers will help the investor and adviser identify areas that should also be considered when assessing suitability and choosing a risk level

When do you intend to use the invested money?

Short term
(0-5 years)

Medium term
(5-10 years)

Long term
(10+ years)

No fixed term

How much of this investment could you stand to lose without having a significant impact on your future standard of living?

None or very
limited losses

Small/ Medium losses
could be tolerated

Large losses would have a low
impact on future lifestyle

If you needed sudden access to a lump sum how likely is it that you would need to encash this investment?

I have other savings and
investments which I can use
for most needs

I may need this investment if I
needed access to a significant
amount of money quickly

I would almost certainly
need access to this
investment

Client Signature

Date